

Communications Theory and Engineering

Master's Degree in Electronic Engineering

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Block codes and convolutional codes



Soft and hard decoders find codewords closest (in Euclidean or Hamming distances) to the received block.

Implementation becomes difficult for large and k and n, since there are 2^k distances that need to be computed and compared.

For hard decoding it is possible to implement efficient decoding techniques



Consider a systematic linear (n, k) block code, which has a generator matrix of the form

$$G = \left[I_k \,|\, P \right]$$

Given a row vector *b* of *k* bits, the corresponding codeword is c=bG, a row vector which can be written

$$c = \begin{bmatrix} b & a \end{bmatrix}$$

where a=bP is a row vector with *n*-*k* parity-check bits and one has

$$bP \oplus a = 0$$
$$\begin{bmatrix} b & a \end{bmatrix} \begin{bmatrix} P \\ I_{n-k} \end{bmatrix} = 0$$



Parity check matrix



$$cH' = 0$$
 with
 $H = \left[P' | I_{n-k} \right]$

H is called the parity-check matrix

H can be used to check if a vector c is a codeword

or



Example: the code for parity control (k + 1, k)

For the parity check code (k + 1, k) the parity check matrix is

One can check in fact if a bit vector is a codeword by summing (modulo-two) all of the bits and checking if the sum is zero.



The parity-check matrix for the (7, 4) Hamming code is

 $c_2 = [0110101]$, is not a codeword and $c_2H' = [100]$

while c = [0110001] is a code word since cH'=0



Syndrome

A parity check matrix can be found for all linear codes even though they are non-systematic block

The parity check matrix is a compact representation of a code

For a received vector c_r a transmitted codeword c_t and the error pattern e, one has:

$$c_r = c_t \oplus e$$

And the syndrome is defined

$$s = c_r H' = c_t H' + eH' = eH'$$

The syndrome is zero if c_r is a codeword, which occurs if and only if e is a codeword (recall that 0 is always a codeword of a linear code). Efficient decoders use the syndrome to flag the position of an error, which can then be corrected



For any positive integer m there exists a Hamming code with

$$(n,k) = (2^m - 1, 2^m - 1 - m)$$

The parity-check matrix has n columns each with n-k bits

For a Hamming code, the parity-check matrix is constructed by letting the n=2^m-1 columns be all possible binary vectors with m=n-k elements, except the zero vector



The most practical block codes are cyclic codes

An (n,k) linear block code is said to be cyclic if any cyclic shift of a codeword produces another codeword

Some Hamming codes are cyclic codes

The algebraic properties of cyclic codes permit collapsing the information contained by the generator matrix into a single polynomial, called the generator polynomial, or by the dual parity polynomial



The most important cyclic codes are the BCH codes

Bose, Ray-Chaudhuri, Hocquenghem, 1960

These are very efficient codes that allow the correction of multiple errors (satellite communications, CDs, DVDs)

A subset of these codes are the very famous Reed-Solomon codes (Voyager, CD, Blueray, QRcodes, Wimax, DSL, DVB)







The shift register is loaded with 4 bits and clocked $2^{m}-1$ times

The output forms the $2^{m}-1$ length code

The result is an $(n,k)=(2^m-1, m)$ block code

The output is periodic with period 2^{m-1}

The code is cyclical



Example: state transition matrix for the m=4 maximal-length shift register





A convolutional coder is a finite memory system (rather than a memoryless system, as in the case of the block coder).

The name refers to the fact that the added redundant bits are generated by modulo-two convolutions

Convolutional codes are widely used because they provide better performance than block codes

A good part of their performance is attributable to the availability of practical soft decoding techniques.

As for block codes, linear convolutional coders are constructed using modulo-two adders with the addition of delay elements.



Example of convolutional codes





Generator matrix

Example of the conv(1/2) case

$$G(D) = \left[1 \oplus D^2, 1 \oplus D \oplus D^2\right]$$

Example of the conv(2/3) case

$$G(D) = \begin{bmatrix} 1 & 0 & 1 \oplus D \\ 0 & 1 & D \end{bmatrix}$$



Parity check matrix

Example conv(2/3)

$$G(D) = \begin{bmatrix} 1 & 0 & 1 \oplus D \\ 0 & 1 & D \end{bmatrix} = \begin{bmatrix} I_k | P \end{bmatrix}$$
$$H = \begin{bmatrix} P' | I_{n-k} \end{bmatrix} = \begin{bmatrix} 1 \oplus D, D, 1 \end{bmatrix}$$

Verify that for all codewords one has C(D)H'(D) = 0

 $C_{k}^{(1)} \oplus C_{k-1}^{(1)} \oplus C_{k-1}^{(2)} = C_{k}^{(3)}$ $\Rightarrow C^{(3)}(D) = (1 \oplus D)C^{(1)}(D) \oplus DC^{(2)}(D)$ $\Rightarrow (1 \oplus D)C^{(1)}(D) \oplus DC^{(2)}(D) \oplus C^{(3)}(D) = 0$ $\Rightarrow C(D)H'(D) = 0$



The constraint length of a convolutional code is defined as *1*+ the maximum degree of the polynomials in the generator matrix

$$M = 1 + \max_{i,j} \left[\deg \left(g_{ij}(D) \right) \right]$$

For conv(1/2), *M*=3

For conv(2/3), *M*=2